

### Internal Audit Work 2017-18 (as at 23 June 2017)

This appendix complements the summary outcome of final reports as presented above.

## Progress against the plan – Summary

No of Reviews	Fieldwork	Draft Report	Final Report	Completed
81	7	7	-	-
	9%	9%	-	-

## Progress against the plan – Detail

[illegible]





No					Recommendations Made**				Recommendations Agreed**			
52	POLICE	IT TECHNOLOGY REFRESH PROJECT										
60	POLICE	DEMAND POLICING AND EVENT RESOURCING	Fieldwork									
61	POLICE	POLICE BUSINESS CONTINUITY PLANNING										
62	POLICE	POLICE BANK ACCOUNTS	Planning									
63	POLICE	POLICE SEIZED GOODS	Fieldwork									
64	BARBICAN CENTRE	CUSTOMER EXPERIENCE										
65	BARBICAN CENTRE	EQUALITY AND DIVERSITY										
66	BARBICAN CENTRE	RETAIL AND BARS										
67	BARBICAN CENTRE	CASH HANDLING										
68	GUILDHALL SCHOOL	STRATEGIC PLANNING										
69	GUILDHALL SCHOOL	INCOME GENERATION										
70	GUILDHALL SCHOOL	STUDENT SUPPORT										
71	GUILDHALL SCHOOL	DATA QUALITY										
72	CLS	FINANCIAL MANAGEMENT										
73	CLS	SAFEGUARDING										
74	CLS	HEALTH AND SAFETY										
75	CLSG	SAFEGUARDING										
76	CLSG	HEALTH AND SAFETY										
77	CLFS	SAFEGUARDING										
78	CLFS	HEALTH AND SAFETY										
79	CLFS	INCOME GENERATION										
80	BUILT ENVIRONMENT	HIGHWAYS ASSETS REGISTER	Fieldwork									
81	POLICE	PROJECT MANAGEMENT	Draft Report									

\* Status definitions \_ Fieldwork + Formal TOR Issued. Draft = Formal draft report issued. Final = Review complete and final report issued

\*\* Only completed once final report has been issued.

### Performance Indicators

Performance Measures	Target	Actual
1 Completion of audit plan	95% of planned audits completed to draft report stage by end of plan review period (31 March 2017)	9%
2 Timely production of draft report	Average time taken to issue draft reports within 28 days of end of fieldwork i.e. exit meeting date.	20 days
3 Timely response to draft report	Average time taken to obtain a full management response within 28 days of the draft report being issued.	27 days
4 Timely issue of final report	Average time taken to finalise the review within 7 working days on full response from management	6 days
5 Customer satisfaction	Through key question on post audit surveys – target 90%	100%
6 Percentage (%) of audit section staff with relevant professional qualification	Target 75%	78%